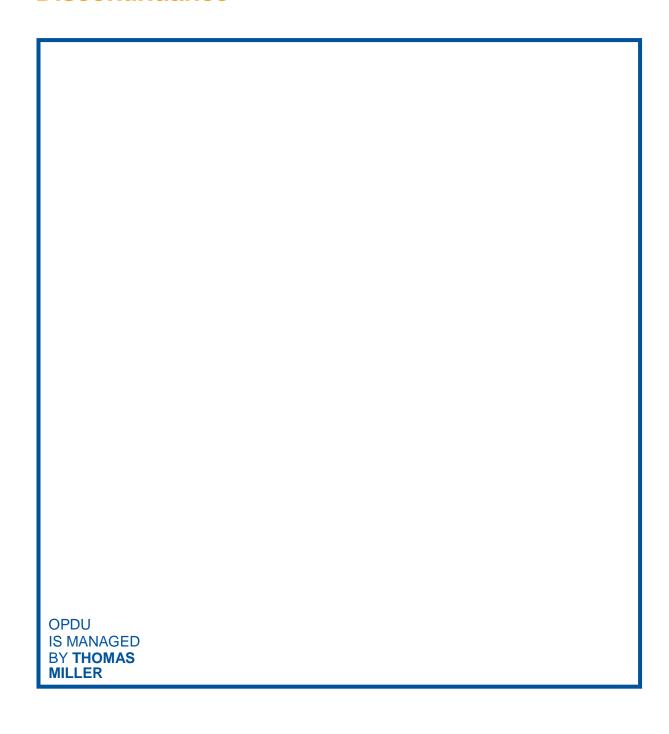
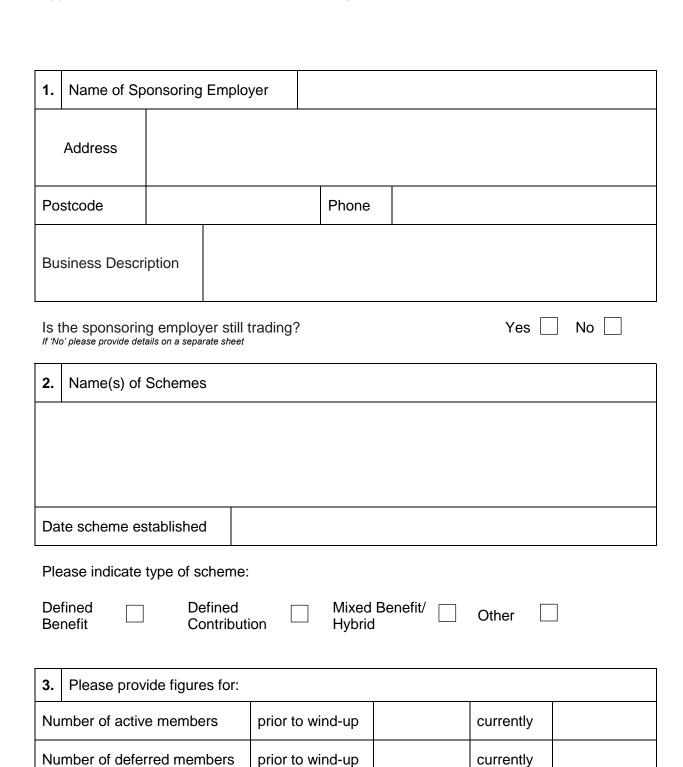
OPDU

The Occupational Pensions Defence Union Limited

Proposal Form
Application for OPDU Elite Insurance
Pension Trustee Liability Insurance
Discontinuance







prior to wind-up

Number of pensioners

currently



4.	Please provide details on why the scheme is winding-up/wound-up:			
	te scheme will commence/commenced nd-up			
5.	Scheme Financials			
	nat is the current market value of scheme sets?			
	nat was the value of scheme assets before mmencement of wind-up?			
	nat is the expected date of final disbursement scheme assets?			
Wh	nen was the last valuation carried out?			
	nat was the latest funding position of the neme?			
	ease provide details of surplus or deficit, method of calculation (e.g. or basis) and proposed method of addressing surplus/deficit position:			
6.	Have all payments been paid across from the sponsoring employer time, and in accordance with actuarial recommendations? If 'No' please provide details on a separate sheet	on Yes No		
7.	Please tick 'Yes' to confirm that:			
	All trustees are/were formally appointed	Yes 🗌 No 🗌		
	One third of the trustees are/were nominated and selected by the membership	Yes 🗌 No 🗌		
	The trustees meet/met regularly (i.e. at least twice a year)	Yes 🗌 No 🗌		
	Formal minutes are/were taken of all trustee meetings	Yes 🗌 No 🗌		
	Decisions are/were undertaken using a unanimous process, or that the trust deed and rules allowed for majority decisions to be taken If the answer to any of the above is 'No', please give details on a separate sheet			
	Do/did all trustees undergo initial and ongoing training on their responsibilities and liabilities?	Yes 🗌 No 🗌		



8.	Please provide details of when the scheme investment strategy was last reviewed and any action taken as a result:			
9.	Are/were any of the scheme assets invested in or loaned to the Sponsoring Employer and/or its subsidiaries and/or associated companies? If 'Yes', please give details on a separate sheet	Yes No No		
10.	Does/did the employer company and/or its subsidiaries act as Investment managers for any plan assets? If 'Yes', provide details on a separate sheet on size of assets managed, qualifications/experience of those involved and whether they are Approved Persons in terms of the Financial Services and Markets Act below:	Yes No		
11.	Are/were all administration records complete? If 'No' please provide details on a separate sheet	Yes No		
12.	Have any issues been encountered in securing contracting-out benefits? If 'Yes', please give details on a separate sheet	Yes No		
13a.	Have all benefits (including GMPs where applicable) been equalised? If 'No' please provide details on a separate sheet	Yes No		
13b.	In light of the Lloyds Banking Group judgment, has a legal review been undertaken with regards to the method used or to be used, to equalise GMPs?	Yes No		
14.	Are there any persons entitled to pensions who cannot be accounted for/traced? If 'Yes', please give details on a separate sheet	Yes No		



15.	If the winding-up of the pension scheme is the result of a buy-out, please provide the following information:				
	(i) Details of the providers who tendered for the buy-out?				
	(ii) What was the basis of choosing the chosen provider?				
	(iii) What financial strength rating does the provider hold?				
16.	Please advise the basis on which members' benefits are to be/have been secured together with the name(s) of life assurance companies:				



17.	In line with the requir and 2004, please tick	Yes No		
	There is/was a State	nere is/was a Statement of Investment Principles in place		
	There is/was a certifi Schedule in place	Yes No No		
	The trustees had est Resolution Procedure	rnal Dispute	Yes No No	
	Procedures had been followed	Yes No No		
	Procedures had been Divorce			
	If the scheme has an statutory money pure to the annual benefit		Yes No	
	A Statement of Fund (not required until aft If 'No' please provide details)	Yes No		
18.	Please name the following service providers and year appointed:			
	Custodian		Year Appointed	
	Administrator		Year Appointed	
	Investment Manager		Year Appointed	
	Legal Advisor		Year Appointed	
	Actuary		Year Appointed	
	Auditor		Year Appointed	
	Have/had all the abo trustees (or in the ca	Yes No		
	If so are/were they a	Yes No		
	If 'Yes', does/did this contract require them to maintain fidelity and professional indemnity insurance?			Yes No No
	Are/were there service and service providers	Yes No		



19.	Has a significant breach of statutory duty/legislative requirement/trust Deed and rules ever been reported on the scheme? If 'Yes', please give details on a separate sheet	Yes No
Insu	rance Details	
20.	Please respond to the following:	
	Has there ever been or is there pending any claim or legal	
	proceeding against any trustee, director, partner or employee or	
	against the employer company, corporate trustee company or any	Yes No
	subsidiary arising out of any scheme?	_
	Is the proposer aware, after enquiry, of any circumstances, incident	
	or investigation, which may give rise to a claim?	Yes No
	If the answer to any of the above is 'Yes', please give full details on a separate sheet (including	
	full history and dates.):	
21.	Please respond to the following:	
	Has trustee insurance or fidelity insurance ever been refused,	
	cancelled or non-renewed?	Yes No
	Is there any existing Pension Trustee Liability cover in place for	
	any the proposed plan(s)?	Yes No
	If the answer to any of the above is 'Yes', please give full details on a separate sheet (including	100 110
	renewal dates, limits and deductibles applied:	
	Do the company's activities covered under this insurance involve any	
22.	of the OFAC sanctioned countries, namely Iran, Cuba, North Korea,	Yes No
	North Sudan and Crimea?	100 110
	North Sudah and Shinisa.	
23.	Is Cyber Security reviewed and included within the Risk register?	Yes No
	What proceed are in place to manifer the other protections provides	l by their
24.	What processes are in place to monitor the cyber protections provided Administrators and other advisers?	Dy trien
	Transmittatore and exiter devicere.	
	With remarks to the CDDD IC or and Data Drate stice Demokstral Israels	tion that came
	With regards to the GDPR [General Data Protection Regulation] legislatinto force in May 2018, what action has the Trustee taken to ensure the	
25.	scheme will be compliant?	e pension
	Sonome will be compliant:	



26.	Please	e indicate w	vhat Limit of Inde	emnity is re	quired?	£		
Plea	Please enclose with this Proposal form: Latest scheme report and accounts Latest actuarial valuation report, if applicable Copies of advertisements for missing beneficiaries Copies of any relevant communications to members							
Dec	laration	1						
I declare: (i) that the statements and particulars in this proposal (including the Pension Plan Details) are true and that no other material facts have been misstated or suppressed after enquiry; and (ii) I have obtained, and will obtain in the future, the express consent to the disclosure and use of sensitive personal data from every data subject whose sensitive personal data is supplied in relation to this proposal for the purposes of a (a) underwriting the risks and (b)performing any resulting insurance contract. I agree that this proposal, together with any other information supplied shall form the basis of any Contract of Insurance effected thereon. I undertake to inform the Insurer promptly in writing of any material alteration to those facts occurring before completion of the contract of insurance. I confirm that if the premium for this insurance is to be paid from the fund, there is provision in the Trust Deed to allow this.								
Naı	me							
Pos	sition				Email			
Signed (for and on behalf of the Sponsoring Employer Company/Trustee) Date								

Completion of this form in no way binds the proposer to purchase insurance, nor does it bind insurers to offer insurance. Any information given will only be passed to insurers for the purpose of obtaining terms and will be treated as confidential.

The Occupational Pensions Defence Union Limited, 90 Fenchurch Street London EC3M 4ST

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