

Individual Pension Trustee Liability Insurance Application Form

Please note, the OPDU IPTL Policy is specifically aimed at sole independent Professional Trustees who are appointed to a number of small and medium sized schemes. Applications for Schemes that fall into any of the below categories may face additional questions and/or information requests;

- A scheme in the process of securing a Buy-in / Buy-out
 - A scheme in PPF Assessment
 - An Industry Wide Scheme
 - A scheme for a Government owned Entity
- A scheme where the sponsoring employer is Insolvent
- A scheme where there are any overdue contributions
- A scheme whose sponsoring employer is a Bank, Building Society or a Financial Institution
 - Total assets of all schemes are more than £500m

1.	Name of Insured			
Address				
		Postcode		
Telephone		Fax		
Limit of Insurance Required: <i>(available in £1m increments, maximum £5m)</i>			Number of Schemes to be covered	
Total Assets of Largest Scheme <i>(market value)</i>			Total Assets of all Schemes <i>(market value)</i>	
2.	Is the Insured aware, after enquiry of any circumstances or incident within the last 12 months may give rise to a claim? <small>If 'Yes' please provide details on separate sheet</small>			Yes <input type="checkbox"/> No <input type="checkbox"/>
3.	Has any Insured person or entity serving as Trustee been convicted or pending trial of any criminal act within the last 12 months? <small>If 'Yes' please provide details on a separate sheet</small>			Yes <input type="checkbox"/> No <input type="checkbox"/>
Have insurers of the Insured refused cover, cancelled cover or refused to renew cover within the last 12 months? If 'Yes', please provide details below.				Yes <input type="checkbox"/> No <input type="checkbox"/>
4.				

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Application for OPDU Individual Pension Trustee Liability Insurance

Please provide details of the Schemes you wish to have named on the Policy below:						
Scheme Name						
Scheme Type:	Defined Contribution <input type="checkbox"/>	Defined Benefit <input type="checkbox"/>	Multi-Employer <input type="checkbox"/>	Small self-administered <input type="checkbox"/>		
Registered Scheme for HM Revenue and Customs purposes?	Yes <input type="checkbox"/> No <input type="checkbox"/>	Asset value	Liability value	% Funding level	Date	
Current Funding level on an on-going basis						
Funding level on a buy-out basis (solvency)						
Funding level on a Pension Protection Fund basis (s.179 Valuation)						
Scheme Name						
Scheme Type:	Defined Contribution <input type="checkbox"/>	Defined Benefit <input type="checkbox"/>	Multi-Employer <input type="checkbox"/>	Small self-administered <input type="checkbox"/>		
Registered Scheme for HM Revenue and Customs purposes?	Yes <input type="checkbox"/> No <input type="checkbox"/>	Asset value	Liability value	% Funding level	Date	
Current Funding level on an on-going basis						
Funding level on a buy-out basis (solvency)						
Funding level on a Pension Protection Fund basis (s.179 Valuation)						
Scheme Name						
Scheme Type:	Defined Contribution <input type="checkbox"/>	Defined Benefit <input type="checkbox"/>	Multi-Employer <input type="checkbox"/>	Small self-administered <input type="checkbox"/>		
Registered Scheme for HM Revenue and Customs purposes?	Yes <input type="checkbox"/> No <input type="checkbox"/>	Asset value	Liability value	% Funding level	Date	
Current Funding level on an on-going basis						
Funding level on a buy-out basis (solvency)						
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Scheme Name						
Scheme Type:	Defined Contribution <input type="checkbox"/>	Defined Benefit <input type="checkbox"/>	Multi-Employer <input type="checkbox"/>	Small self-administered <input type="checkbox"/>		
Registered Scheme for HM Revenue and Customs purposes?	Yes <input type="checkbox"/> No <input type="checkbox"/>	Asset value	Liability value	% Funding level	Date	
Current Funding level on an on-going basis						
Funding level on a buy-out basis (solvency)						
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Scheme Name											
Scheme Type:		Defined Contribution <input type="checkbox"/>	Defined Benefit <input type="checkbox"/>	Multi-Employer <input type="checkbox"/>	Small self-administered <input type="checkbox"/>						
Registered Scheme for HM Revenue and Customs purposes?		Yes <input type="checkbox"/> No <input type="checkbox"/>	Asset value	Liability value	% Funding level	Date					
Current Funding level on an on-going basis											
Funding level on a buy-out basis (solvency)											
Funding level on a Pension Protection Fund basis (s.179 Valuation)											
Scheme Name											
Scheme Type:		Defined Contribution <input type="checkbox"/>	Defined Benefit <input type="checkbox"/>	Multi-Employer <input type="checkbox"/>	Small self-administered <input type="checkbox"/>						
Registered Scheme for HM Revenue and Customs purposes?		Yes <input type="checkbox"/> No <input type="checkbox"/>	Asset value	Liability value	% Funding level	Date					
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Scheme Name											
Scheme Type:		Defined Contribution <input type="checkbox"/>	Defined Benefit <input type="checkbox"/>	Multi-Employer <input type="checkbox"/>	Small self-administered <input type="checkbox"/>						
Registered Scheme for HM Revenue and Customs purposes?		Yes <input type="checkbox"/> No <input type="checkbox"/>	Asset value	Liability value	% Funding level	Date					
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Funding level on a Pension Protection Fund basis (s.179 Valuation)											

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Scheme Name					
Scheme Type:	Defined Contribution <input type="checkbox"/>	Defined Benefit <input type="checkbox"/>	Multi-Employer <input type="checkbox"/>	Small self-administered <input type="checkbox"/>	
Registered Scheme for HM Revenue and Customs purposes?	Yes <input type="checkbox"/> No <input type="checkbox"/>	Asset value	Liability value	% Funding level	Date
Current Funding level on an on-going basis					
Funding level on a buy-out basis (solvency)					
Funding level on a Pension Protection Fund basis (s.179 Valuation)					
Scheme Name					
Scheme Type:	Defined Contribution <input type="checkbox"/>	Defined Benefit <input type="checkbox"/>	Multi-Employer <input type="checkbox"/>	Small self-administered <input type="checkbox"/>	
Registered Scheme for HM Revenue and Customs purposes?	Yes <input type="checkbox"/> No <input type="checkbox"/>	Asset value	Liability value	% Funding level	Date
Current Funding level on an on-going basis					
Funding level on a buy-out basis (solvency)					
Funding level on a Pension Protection Fund basis (s.179 Valuation)					
6. Do any of the Schemes named fall into any of the below categories?					Yes <input type="checkbox"/> No <input type="checkbox"/>
<ul style="list-style-type: none"> • A scheme in PPF Assessment • An Industry Wide Scheme • A scheme for a Government owned Entity • A scheme where the sponsoring employer is Insolvent • A scheme where there are any overdue contributions • A scheme whose sponsoring employer is a Bank, Building Society or a Financial Institution 					
6. If yes please provide details below:					

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7.	Please provide any Additional Comments you may have here:		
8.	Please include with this application:		
	Copy of the latest Report & Accounts and Actuarial Valuation for each scheme	Included	Yes <input type="checkbox"/> No <input type="checkbox"/>
	Latest CV or Work History	Included	Yes <input type="checkbox"/> No <input type="checkbox"/>
DECLARATION			
I/we declare that the statements and particulars in this Proposal are true and that after enquiry no material facts have been misstated or suppressed. I/we agree that this Proposal, together with any information supplied, shall form the basis of any Contract of Insurance affected hereon. I/we undertake to inform Insurers of any material alteration to the information supplied occurring before the commencement date of the Contract of Insurance.			
Name			
Job Title			
Signed		Date	