

OPDU

The Occupational Pensions Defence Union Limited

Proposal Form Application for OPDU Individual Pension Trustee Liability Insurance

OPDU
IS MANAGED
BY **THOMAS
MILLER**

OPDU

Application for OPDU Individual Pension Trustee Liability Insurance

1. Name of Insured

Address

Postcode

Telephone

Fax

Limit of Insurance Required

(available in £1m increments, maximum £5m)

Number of Schemes to be covered

Total Assets of all Schemes £
(market value)

Total Assets of Largest Scheme £
(market value)

2. Is the Insured aware, after enquiry of any circumstances or incident which may give rise to a claim?

If 'Yes' please provide details on separate sheet

Yes No

3. Has any Insured person or entity serving as Trustee been convicted of any criminal act?

If 'Yes' please provide details on a separate sheet

Yes No

4. Have insurers of the Insured ever refused cover, cancelled cover or refused to renew cover?

If 'Yes' please provide details on separate sheet

Yes No

OPDU

Application for OPDU Individual Pension Trustee Liability Insurance

Unless written consent is obtained from Insurers, the Individual Pension Trustee Liability Insurance Policy does not automatically provide cover for your engagement at any Scheme that falls within the categories set out below:

- A scheme in the process of securing a Buy-in / Buy-out
- A scheme in PPF Assessment
- An Industry Wide Scheme
- A scheme for a Government owned Entity
- A scheme where the sponsoring employer is Insolvent
- A scheme where there are any overdue contributions
- A scheme whose sponsoring employer is a Bank, Building Society or a Financial Institution
- A scheme whose total member base is in excess of 1,000 members

I/we confirm that none of the Schemes for whom I act as a Trustee fall within any of the excluded Schemes categories set out above Yes No

NOTE: If you are engaged by an excluded Scheme and would like that Scheme to be considered for inclusion within the insurance cover, then please contact OPDU via phone or email so that specific discussions can be held with underwriters.

When returning this document, please also include:

- A copy of your passport, certified by a qualified lawyer / accountant (or similar authorised person) to confirm your identity:
- A copy of your resume outlining your experience and background.

DECLARATION

I/we declare that the statements and particulars in this Proposal are true and that after enquiry no material facts have been misstated or suppressed. I/we agree that this Proposal, together with any information supplied, shall form the basis of any Contract of Insurance affected hereon. I/we undertake to inform Insurers of any material alteration to the information supplied occurring before the commencement date of the Contract of Insurance.

Name	
Job Title	
Signed	Dated

OPDU

Application for OPDU Individual Pension Trustee Liability Insurance

Named Pension Scheme

(Please complete a copy of this Application for each pension scheme)

1. Full Name of Pension Scheme

2. Scheme Assets (market value)	Year Established
------------------------------------	------------------

3. Please advise status of pension scheme:
Registered Scheme for HM Revenue and Customs purposes Yes No
Tick one:

- Defined benefit scheme
- Defined contribution
- Small self-administered
- None of the above – if so, please specify

4. Please advise the current funding level for each pension scheme

	Asset value	Liability value	% Funding level	Date
Funding level on an on-going basis				
Funding level on a buy-out basis (solvency)				
Funding level on a Pension Protection Fund basis (s.179 Valuation)				

Thank you for taking the time to complete this form. Please return to the OPDU team via email, along with the relevant supporting documentation as described on Page 3.

The Occupational Pensions Defence Union Limited. 90 Fenchurch Street London EC3M 4ST
Tel: 020 7204 2400 Email: enquiries@opdu.com Website: www.opdu.com

Registered in England No.3277897. Authorised and Regulated by the Financial Conduct Authority
OPDU Individual Pension Trustee is underwritten by Axis Specialty Europe SE